

CLEAN WATER STATE REVOLVING FUND INTENDED USE PLAN (IUP) APPLICATION



Application Packet Checklist
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IUP Application Form
Real Property Assurance Form

The application for inclusion on the Clean Water State Revolving Fund (CWSRF) IUP can be submitted only when the wastewater project is at the right stage of the construction permitting process. This packet outlines the requirements of the permitting process that must be met and includes the materials and information needed to complete the IUP application. Please use the checklist and instructions to make sure your application is complete before submitting it.

APPLICATION PACKET CHECKLIST

A. Construction Permitting Information -- To Be Completed by Applicant		For DNR Use	
Applicant Name	City of Tripoli		
1. DNR Number (e.g. W2014-#### or S2014-####) 2017	2017 0155A	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2. DNR Project Manager Name	Laura Knispel	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3. Project Identification: Wastewater Improvements		Yes <input type="checkbox"/>	No <input type="checkbox"/>
4. Project Initiation Meeting Held (date)	6/21/2017	Yes <input type="checkbox"/>	No <input type="checkbox"/>
5. Flows and Loads Concurrence by DNR (date or N/A) If N/A, state reason:	5/25/2017	Yes <input type="checkbox"/>	No <input type="checkbox"/>
6. Wasteload Allocation Completed (date or N/A – see 5. above)	7/12/17	Yes <input type="checkbox"/>	No <input type="checkbox"/>
7. Antidegradation Alternatives Analysis Required (if no, go to 8.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
7a. Alternatives Analysis Approved by DNR (date or N/A)	8/31/18	Yes <input type="checkbox"/>	No <input type="checkbox"/>
8. Three Copies of Certified Facility Plan	Yes <input checked="" type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
8a. If Already Submitted (submitted date) Certification Date:	8/31/18	Yes <input type="checkbox"/>	No <input type="checkbox"/>
9. Iowa Construction Permit Application Schedule A	Yes <input checked="" type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
10. Schedules F and G (if needed for the project) If N/A, state reason:	Yes <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
For DNR Use: The Applicant has followed the DNR Wastewater Construction Permitting Process and the project is eligible to be placed on the IUP pending review of the SRF information requirements.			
DNR Project Manager: <i>[Signature]</i>		Date: 8/31/18	
B. State Revolving Fund Information -- To Be Completed by Applicant		For SRF Use	
11. IUP Application Signed	Yes <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
12. DUNS Number Included (note: Form 4700-4 no longer required) 188534437	Yes <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
13. Property Assurance Form Signed	Yes <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
14. SRF Environmental Review Checklist and Attachments Completed and Submitted	Yes <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
For SRF Use: The IUP application materials are complete.			
The application will be placed on the IUP 19 (IUP Year), 3 (IUP Quarter) with CWSRF Number CS1920960.01			
DNR SRF Administrative Assistant: <i>[Signature]</i>		Date: 9/4/2018	

INVESTING IN IOWA'S WATER

CLEAN WATER STATE REVOLVING FUND INTENDED USE PLAN (IUP) APPLICATION FORM



This form may be used to apply for inclusion on the project priority list of the Clean Water SRF IUP at the time a complete Facility Plan is submitted. IUPs are developed on an annual basis with quarterly updates as needed. **This form is not an application for a loan.** SRF loan application materials may be obtained at www.iowaSRF.com. The loan application should not be completed until after bids are received.

Instructions:

Complete the requested information in the following sections to the best of your ability. Please print or type the information on the form. If a particular item does not apply to your system, enter n/a for "not applicable." Attach supporting documentation as needed. Keep a copy of the completed application for your records, submit a copy to your engineer, and submit the original signed form to the following address:

State Revolving Fund, c/o DNR Wastewater Engineering Section
Iowa Department of Natural Resources
502 E. 9th Street
Des Moines, IA 50319-0034

Section 1: Applicant and Consulting Engineer Information

Applicant Name:	City of Tripoli, Iowa
Mailing Address:	303 South Main, PO Box 587
City, State, Zip + 4	Tripoli, Iowa 50676
Authorized Representative:	Brendt Bernard
Signature:	<i>Brendt Bernard</i>
Title:	Mayor
Telephone Number:	319-882-4801
E-mail:	brendtbernard@gmail.com
DUNS Number:	188534437
Consulting Engineer:	Steve Van Dyke, P.E., BCEE
Firm:	FOX Engineering Associates, Inc.
Firm Address:	414 South 17 th Street, Suite 107 (specify branch where consulting engineer is located)
City, State, Zip + 4	Ames, IA 50010
Telephone Number:	515-233-0000
E-mail:	sav@foxeng.com
NPDES Facility No.:	0975001

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AUG 30 2018

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www.iowasrf.com

February 2015

DNR Form 542-1320

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Section 2: Project Schedule

Anticipated final plans and specifications submittal date:	August 2019
Anticipated construction start date:	December 2019

Section 3: Brief Project Summary (Attach additional pages if necessary.)

Describe the reasons or the needs for the proposed project: (i.e. specific water quality problem or system improvement)	
The City of Tripoli, Iowa operates a continuous discharge aerated lagoon wastewater treatment facility. Since it was put into service in 2001, the treatment system has performed very well; reliably and consistently meeting effluent limitations. Tripoli's discharge permit was renewed by IDNR on June 1, 2016. The renewed permit includes a new requirement to provide disinfection, as well as new, relatively low, ammonia limits that the existing facility cannot meet. In addition, the raw wastewater pumping capacity needs to be increased to provide adequate firm capacity.	
Describe the scope of the proposed project: (i.e., specific solution to the water quality problem, or proposed system improvement)	
A new outfall sewer will be installed to discharge final effluent directly to the Wapsipinicon River. By discharging directly to the river, the facility would receive much higher ammonia limits that the existing system should be able to meet. The existing aerated lagoon treatment system will be maintained in service. A UV disinfection system will be added to meet new disinfection requirements, and the raw wastewater pumps and grinder will be replaced.	
Which other funding programs are you considering to assist in completion of this project?	Check all that apply: <input checked="" type="checkbox"/> Community Development Block Grant (CDBG) <input type="checkbox"/> Rural Development Grant and/or Loan <input type="checkbox"/> Reserve Funds <input type="checkbox"/> Other: _____
Compliance Status	<input type="checkbox"/> Has been referred to the Iowa Attorney General <input type="checkbox"/> Has received administrative order from DNR <input checked="" type="checkbox"/> Under compliance schedule in the NPDES permit <input type="checkbox"/> Other: _____

Section 4: Project Environmental Outcomes

Primary Impacted Waterbody	NHD Reach Code: Designated Surface Water Uses (e.g. A1, BWW): A1, B(WW-1), HH
Project Will Contribute to Water Quality... (check one)	<input checked="" type="checkbox"/> Improvement <input type="checkbox"/> Maintenance <input type="checkbox"/> Not Applicable
Project Will Allow the System to... (check one)	<input type="checkbox"/> Achieve Compliance <input checked="" type="checkbox"/> Maintain Compliance <input type="checkbox"/> Not Applicable
Project Will Allow the System to Address...(check all that apply)	<input type="checkbox"/> Existing TMDL <input type="checkbox"/> Projected TMDL <input type="checkbox"/> Watershed Management Plan

Section 5: Project Cost

Cost Category	Estimated Total Cost in \$	
Legal Expenses		20,000
Land and Easements	+	15,000
Engineering Planning Fees	+	
Engineering Design Fees	+	180,000
Engineering Construction Fees	+	100,000
Construction	+	1,485,000
Equipment	+	
Other:	+	
Other:	+	
PROJECT SUBTOTAL	=	1,800,000
Contingencies	+	200,000
Planning and design loan proceeds, if rolling into construction loan	+	
Less Any Funds Requested from Other Sources	-	
LOAN SUBTOTAL	=	2,000,000
Loan Initiation Fee (Loan Subtotal x .005)	+	10,000
TOTAL IUP REQUEST (Round to the nearest \$1,000)	=	2,010,000

Section 6: Consultants

Bond Counsel Firm:	Dorsey & Whitney LLP
Name of Contact Person:	Amy Bjork
Mailing Address:	801 Grand Ave., Suite 4100
City, State, and Zip:	Des Moines, IA 50309
Telephone Number:	515-699-3285
Email Address:	Bjork.Amy@dorsey.com

Municipal Advisor Firm:	Speer Financial, Inc.
Name of Contact Person:	Maggie Burger
Mailing Address:	531 Commercial Street, Suite 608
City, State, and Zip:	Waterloo, IA 50701
Telephone Number:	319-291-2077
Email Address:	mburger@speerfinancial.com

Please provide these contacts if known at the time of application. The requirement to engage a Municipal (Financial) Advisor is new to SRF for projects starting in fiscal year 2015.

Section 7: Acquisition of Property by SRF Applicants

U.S. ENVIRONMENTAL PROTECTION AGENCY
ASSURANCE WITH RESPECT TO REAL PROPERTY ACQUISITION
OF TITLE III OF THE UNIFORM RELOCATION ASSISTANCE AND REAL PROPERTY
ACQUISITION POLICIES ACT OF 1970 AS AMENDED

The City of Tripoli, Iowa (Applicant) hereby assures that it has authority under applicable State and local law to comply with Section 213 of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, Public Law 91-646, 84 Stat. 1894 (42 U.S.C. 4601) as amended by the Surface Transportation and Uniform Relocation Assistance Act of 1987, Title IV of Public Law 100-17, 101 Stat. 246-256 (42 U.S.C. 4601 note) and 49 CFR 1.48(cc); and certifies, assures and agrees that, notwithstanding any other provision set forth in the application:

1. For projects resulting in the displacement of any person:

a. It will adequately inform the public of the relocation payments and services which will be available as set forth in Subparts A, C, D and E of 49 CFR 24.

b. It will provide fair and reasonable relocation payments to displaced persons as required by Subparts D and E of 49 CFR 24.

c. It will provide a relocation assistance program for displaced persons offering services described in Subpart C of 49 CFR 24.

d. Comparable replacement dwellings will be available pursuant to Subpart F of 49 CFR 24, or provided if necessary, a reasonable period in advance of the time any person is displaced.

e. In acquiring real property, it will provide at least 90 days written notice to each lawful occupant of real property acquired, stating the date such occupant is required to move from a dwelling or to move his business or farm operation.

2. For projects resulting in the acquisition of real property:

a. It will fully comply with the requirements of Subpart B of 49 CFR 24.

b. It will adequately inform the public of the acquisition policies, requirements and payments which apply to the project.

c. It will make every effort to acquire real property expeditiously through negotiation.

d. Before the initiation of negotiations it will have the real property appraised and give the owner or his representative an opportunity to accompany the appraiser during inspection of the property, except as provided in 49 CFR 24.102(c)(2).

e. Before the initiation of negotiations it will establish an amount which it believes to be just compensation for the real property, and make a prompt offer to acquire the property for that amount; and at the same time it will provide the owner a written statement of the basis for such amount in accordance with 49 CFR 24.102.

f. Before requiring any owner to surrender possession of real property it will pay the agreed purchase price; or deposit with the court, for the benefit of the owner, an amount not less than the approved appraisal of the fair market value of the property; or pay the amount of the award of compensation in a condemnation proceeding for the property.


g. If interest in real property is to be acquired by exercise of the power of eminent domain, it will institute formal condemnation proceedings and not intentionally make it necessary for an owner to institute legal proceedings to prove the fact of the taking of this real property; and

h. It will offer to acquire the entire property, if acquisition of only part of a property would leave its owner with an uneconomic remnant.

References to 49 CFR are citations to Title 49, Code of Federal Regulations, Part 24, published in the Federal Register Vol. 54, No. 40, March 2, 1989.

This document is hereby made part of and incorporated in any contract or agreement, or any supplements and amendments thereto, relating to the above-identified application and shall be deemed to supersede any provision therein to the extent that such provisions conflict with the assurances or agreements provided therein.

Brent Bernard, City of Tripoli Mayor
(Legal Name of Applicant)

By 
(Signature of Authorized Representative)

8/24/16
(Date)



TRANSMITTAL

Aspen Business Park | 414 South 17th Street, Suite 107 | Ames, Iowa 50010

DATE: August 29, 2018

TO: State Revolving Fund c/o IDNR Wastewater Engineering Section
Iowa Department of Natural Resources
502 East 9th Street
Des Moines, Iowa 50319

RE: Wastewater Treatment Improvements
Tripoli, Iowa
FOX PN 2466-16A.300

DELIVERY: UPS Ground

ITEMS: One (1) Copy of IUP Application with Environmental Review Information

COMMENTS:

Please let me know if you have any questions.

Steve Van Dyke, P.E.

COPY TO: De Ann Lahmann, City Clerk

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